

SUBSTITUTE FORM PTO-1449
(MODIFIED)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

Attorney Docket No.

06132/043002

Serial No.

09/895,913

Applicant

Harold Kleanthous et al.

Filing Date

June 29, 2001

Group

1645

IDS Filed

October 17, 2001

Customer No.

21559

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use several sheets if necessary)

(37 C.F.R. §1.98(b))

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PATENT & TRADEMARK OFFICE

U.S. PATENTS

Examiner's Initials	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date (If Appropriate)
✓	5,116,821	05/26/92	Randall et al.			
	5,128,140	07/07/92	Chapura et al.			
	5,258,178	11/02/93	Cordle et al.			
	5,447,923	09/05/95	Catrenich et al.			
	5,476,669	12/19/95	Borody			
	5,514,660	05/07/96	Zopf et al.			
	5,538,729	7/23/96	Czinn			
	5,563,039	10/08/96	Goeddel et al.			
	5,567,594	10/22/96	Calenoff			
	5,610,060	03/11/97	Ward et al.			
	5,620,964	04/15/97	Roth et al.			
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*	5,837,240	11/17/98	Lee et al.			
*	5,972,336	10/26/99	Michetti et al.			
*	6,290,962 B1	09/18/01	Michetti et al.			

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

Examiner's Initials	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation (Yes/No)
✓	WO 91/09049	27.06.91	PCT			
	WO 91/15572	17.10.91	PCT			
	WO 93/18150	16.09.93	PCT			
	WO 94/09823	11.05.94	PCT			
	WO 95/22987	31.08.95	PCT			
	WO 95/33482	14.12.95	PCT			

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Applicant Harold Kleanthous et al.
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Group 1645
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FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

Examiner's Initials	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation (Yes/No)
BP	WO 96/33732	31.10.96	PCT			
	WO 96/38475	05.12.96	PCT			
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	WO 97/03359	30.01.97	PCT			
	WO 97/03360	30.01.97	PCT			
	WO 97/19098	29.05.97	PCT			
	WO 97/11182	27.03.97	PCT			
	WO 97/12908	10.04.97	PCT			
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<input checked="" type="checkbox"/>	Robinson et al., "Protection against a lethal influenza virus challenge by immunization with a haemagglutinin-expressing plasmid DNA", Vaccine 11:957-960 (1993).
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<input checked="" type="checkbox"/>	Michetti et al., U.S. Serial No. 09/955,739, filed 9/18/01.

Considered
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